OLD TO JOHN

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)

(use as many sheets as necessary) Sheet 1 of 1

a collection of information unless it displays a	Valid OMB Colldol fluffiber.
Application Number	10/533,420
Filing Date	April 29, 2005
First Named Inventor	Hongming Chen
Group Art Unit	1743
Examiner Name	Yelena G. Gakh
Attorney Docket Number	TPI5020USPCT1

				U.S. PATENT DOCUMENTS		
		U.S. Patent Document				
Examiner Initials	Cite No.¹	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
/Y.G./		6,109,717		Kane et al.	08-29-2000	
/Y.G./		5,699,934		Kolcun et al.	12-23-1997	
			FOR	EIGN PATENT DOCUMENTS		

	Foreign	Patent Document		Date of Publication of Cited Document	Pages, Columns, Lines, where relevant	Π
Examiner Cite Initials No.1 Office3 Number4 KindCode5	Name of Patentee or  Applicant of Cited Document	mm-dd-yyyy	passages or relevant figures appear	⊤್		
/Y.G./	wo	01/51919	Transform Pharmaceuticals,Inc. et al.	07-19-2001		
/Y.G./	wo	03/02339 4	Phares Pharmaceutical Research N.V. et al.	03-20-2003		
						ļ
						<u> </u>

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
/Y.G./		D'ANTONA, P. et al., "Rheologic and NMR Characterization of Monoglyceride-based Formulations", Journal of Biomedical Materials Research, Vol. 52, No. 1, October 2000, pp. 40-52	
/Y.G./		LIPINSKI, C.A., "Drug-Like Properties and The Causes of Poor Solubility and Poor Permeability", Journal of Pharmacological and Toxicological Methods", Vol. 44, No. 1, 2000, pp. 235-249	
/Y.G./		BEVAN, C.D. et al., "A high-throughput screening method for the determinations of aqueous drug solubility using laser nephelometry in microtiter plates", Analytical Chemistry, American Chemical Society, Vol. 72, No. 8, April 15, 2000 pp 1781-1787	7

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## SUBMISSION UNDER MPEP 609 D

Application Number	10/533,420
Filing Date	April 29, 2005
First Named Inventor	Hongming Chen
Group Art Unit	1743
Examiner Name	Yelena G. Gakh
Attorney Docket Number	TPI5020USPCT1

Page 1 of 1

		U.S. PA	TENT DOCUMENTS			
<u> </u>				U.S. Patent Document		
Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	Number	Kind Code <sup>z</sup> (if known)	Pages, Columns, Lines, where relevant passages or relevant figures appear	
			<u> </u>			
<del>.</del>	<del>                                     </del>					
	<del>  -</del>	<del> </del>			· · · · · · · · · · · · · · · · · · ·	
		•				
		<del>, , , , , , , , , , , , , , , , , , , </del>	1			
<del> </del>	<del>                                     </del>		<del> </del>			
	<del>                                     </del>		<del>                                     </del>			
				į		

FOREIGN PATENT DOCUMENTS

		FOREIGI	PATENT				
Examiner Initials	Cite No.1	Name of Patentee or Applicant of Cited Document	Foreign Pa	Number <sup>4</sup>	KindCode <sup>5</sup>	Pages, Columns, Lines, where relevant passages or relevant figures appear	T⁵
					-		
.,							
· · · · · · · · · · · · · · · · · · ·			<del></del>	-	#*4		! 
		OTHER PRIOR ART - NO	ON PATENT	LITERATU	RE DOCUMEN	ITS	
Examiner 's Initials*	Cite No.1	Include name of the author (in Catitle of the item (book, magazine volume-issue number(s	, journal, se	erial, symp	osium, catalo	g, etc.), date, page(s),	T²
/Y.G./		Supplementary European Sea Appln. No. EP 03779464	arch Repo	ort, dated	d August 1,	2006, for European	
			-				

Examiner Signature	/Yelena Gakh/	Date Considered	11/20/2008	